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DEPARTMENTAL REGISTRY MANUAL ON PROCEDURES AT REGISTRY

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1. SUPERVISORY OFFICIALS

1.1. Records Manager

1.1.1 The overall control, preservation and care of the bodies/office's correspondence files and records other than correspondence files rest with the Records Manager. The concept "records" includes all documents and papers that are received or created in the process of running an office.

1.1.2 The official also exercises overall control of all the activities of the registry and personnel. No procedural amendments concerning any records management practices should be implemented without the knowledge and/or consent of this official. The various departments and sections which use the records other than correspondence files may store them in their respective offices, however the Records Manager is responsible for control over them and should have access to them at all times.

1.1.3 The Records manager is solely responsible for the following duties that cannot be delegated:

- a) Control of the maintenance and application of the filing system and the Records Control Schedule (see paragraph 3);
- b) Disposal of all records (see paragraph 4);
- c) Control over and safe preservation of all records (see paragraph 5); and
- d) The application of a policy of document economy (see paragraph 2.2.3.).

1.1.4 If any of the duties mentioned in paragraph 1.1.3 need to be delegated during the absence of the official, it should be assigned to a person with a similar or higher rank, but under no circumstances to the Chief Registry Official.

1.2 Chief Registry Official

- 1.2.1 The duties of the Chief Registry Official rest with the Records Manager.
- 1.2.2 The Chief Registry Official is responsible for the administration of, and supervising the administration of all registry procedures, as stipulated in paragraph 2.1 to 2.9 below, as well as the direct supervision and training of all registry personnel. Furthermore, he/she and his/her staff are responsible for all tasks entrusted to them by the Records Manager under paragraph 3.1 to 5.8 hereafter. No other tasks should be entrusted to the Chief Registry Official or registry personnel without the permission/knowledge of the Records Manager.

2. REGISTRY PROCEDURES

2.1 Receipt of post, parcels and remittances/transferrable items

2.1.1 Procedures regarding the mailbag

2.1.1.1 The mailbag is collect in the morning at 7:30 am by the Messenger from the post office. Mail is sorted and appropriately dispatched, while outgoing mail is sealed and stamped. The mailbag is returned to the post office at 14:00 pm with the outgoing mail where it remains until the following morning.

2.1.1.2 The key for the mailbag is stored safely in a drawer and is kept by the Chief Registry Official.

2.1.2 Private mail

2.1.2.1 Officials and employees must be informed that ht office address may only be used for private correspondence, if it is unavoidable. Preferably, no private mail should be handled by the registry.

2.1.2.2 Private letters that are received are placed unopened in a pigeonhole marked for this purpose. It is the duty of the addressee to collect the mail her/himself.

2.1.2.3 The registry accepts no responsibility for private mail.

2.1.3 Receipt, sorting and opening of post

2.1.3.1 Receipt of mailbag

2.1.3.1.1 Under the regulations of Financial Manual Chapter Q, paragraph Q4.1.1, it is a requirement that mail collected from the post office has to be carried in a sealed bag. Thus, mailbags are always sealed by the post office prior to their delivery.

2.1.3.1.2 When the mailbag is received in registry, it must be opened and emptied by the Chief registry official in the presence of a Senior Registry Official.

2.1.3.1.3 Both of these officials must receive written instruction from the office head entitling them to receive and open mail, and to note details of all remittance or negotiable in the remittance register, received by mail or otherwise. These written instructions should be filed on the personal files of the relevant officials.

2.1.3.1.4 In cases where one or both of the officials mentioned in paragraph 2.1.3.1.2. Are not present to, or for any reason cannot open the mail, permission for this task must be delegated, in writing, by the office head to other registry officials.

2.1.3.1.5 Under no circumstances may only one person open post. Messengers are not permitted to help with the opening of post.

2.1.3.1.6 Incoming post is opened twice daily and this includes registered mail, at 08:00am and 10:30 am. All official post and letters received while mail is opened daily and dispatched immediately except when officials are not in the office, and then the post would be kept in a drawer until the following day when it would be delivered to them. This procedure includes urgent post such as faxes, speed services and courier parcels.

2.1.3.2 Sorting of mail

2.1.3.2.1 Official letters addressed to persons by name are delivered to them unopened. However, if an official envelope is marked for the attention of a particular person, it is opened by the registry person and dealt with in the usual manner.

2.1.3.2.2 Secret and confidential envelopes are delivered unopened to the Security Manager who has been assigned this purpose. After these items have been handed over to the above-mentioned official, the registry accepts no further responsibility for them. (Also see paragraph 2.4.4.1. and 2.4.4.2).

2.1.3.2.3 All envelopes which indicate that the correspondence concerns staff matters are delivered unopened to the Records Manager.

2.1.3.3 Procedure for opening mail

2.1.3.3.1 The registry is closed from 08:00am to 09:00am and depending on the volume of post received again from 10:30 to 11:30 depending on the volume. During these periods, post is opened, sorted, typed in on computer for easy reference and records purposes. Some activities are not suspended due to the fact that faxes are sent and received through registry whereby a registry official is allocated on a weekly basis to perform this duty. Since the counter in the registry is burgled and has a security gate, the security gate is closed during this period and services can still be rendered to staff members from behind the counter. After the post is sorted and logged onto the computer, the post is hand delivered to the relevant officials who sign receipt thereof. This register is kept in the registry.

2.1.3.3.2 Mail is opened only on the table of the senior registry official (there are no other necessary facilities for this duty).

2.1.3.3.3 Envelopes are slit open on two sides to ensure that all the contents have been removed and, once emptied; the envelopes are immediately disposed of in the waste bin. Envelopes in which tender documents are received are dealt with as follows:

Tender documents sent to tender section unopened. They are only date stamped, signed and time out is put on the envelope.

Envelopes of unclaimed/undelivered letters are attached to the letter.

- 2.1.3.3.4 After the letters have been removed from the envelopes, they must be opened immediately and read to ensure that any annexure and monies referred to have actually been enclosed. If such items are missing, this must be neatly noted in the margin of the letter and initialed. In cases where money is missing or the amount differs from that mentioned in the letter, both officials must initial the note on the letter. At the same time, loose annexure should be attached to the accompanying letter or, in the case of bulky annexure, tied with string. When attaching documents care must be taken with original documents that are valuable to the sender, e.g. education certificates that they are not damaged by pins or anything else (See also paragraph 2.2.2.2 in this respect).
- 2.1.3.2.5 Registered and certified postal articles are treated in the same manner as ordinary mail. Bearing in mind the regulations in the Financial Manual Chapter Q (paragraph Q4.1.2 – Q4.1.6), these articles should be recorded in the register kept for this purpose.
- 2.1.3.2.6 Incoming parcels are opened and dealt with in the same manner as incoming mail.
- 2.1.3.2.7 Incorrectly delivered mail is returned to the mailbag and re-directed to the relevant institution.
- 2.1.3.2.8 Incoming fax transmission and express letters should immediately be opened by the Chief registry Clerk. It must then be decided whether the article should be delivered with or without the relevant file. If the file is not immediately available, the article must be shown to the official concerned and his/her further instruction be obtained thereon. The files to which such items are attached must be delivered immediately by hand to the official for whom it is meant.
- 2.1.4 Receipt and handling of remittances/transferable items
- 2.1.4.1 Letters enclosed with money or transferable items is placed aside until the remittance register is collected from the cashier. There the cheque / postal order is entered into the remittance register and handed to the Chief Registry Official who checks and signs it then it is taken back to the cashier who also check and signs the register.

2.1.4.2 As already mentioned in paragraph 2.1.3.3.4, money which is either not enclosed or does not correspond with the amount mentioned in the letter, must be noted in the margin and initialed by both officials opening the mail.

2.1.4.3 In addition the following extracts from Financial Manual Chapters J and Q must be strictly adhered to:

"Q4.2.3. in the remittance register the following should be recorded:

- a) The date of receipt;
- b) Whether received by ordinary or registered mail;
- c) From whom received;
- d) The nature of remittance;
- e) The amount of remittance (where applicable);

2.1.4.3.5 The signatures of the official present at the opening of mail;

2.1.4.3.6 The signatures of officials to whom handed over;

2.1.4.3.7 The reference of the receipt, issued and the method of disposal; and

2.1.4.3.8 The signature of the controlling official and the date of the cheque.

Q4.2.5. The controlling officer, a Senior Financial Official, must examine the prescribed register at least once a week to ensure that all instructions are being complied with, and that all the valuables recorded therein, have been promptly accounted for. He must sign the register in the last column to indicate that this has been done.

Q4.2.2. Before a remittance register is brought into use, the supervising official, must ensure that all the pages have been numbered consecutively throughout; and is responsible for checking the register, during the course of his check must verify that no pages have been removed from the register.

Q4.1.3 – Q4.1.6. In the case of registered and certified mail, the date of receipt and number of the advice slip of the relevant articles must be recorded in a separate register. The advice slip, issued by the post office, should be signed in order for the article to be cleared. Once the articles have been collected, the officials responsible

for opening the mail must compare them with the entries in the register. Each article prior to opening must be carefully examined for evidence of tampering. If they contain remittances or transferable items, these must be recorded in the remittance register.

4.2.9. Officials receiving remittances hand delivered must verify the letters or packet's contents. If it has been entered in a "letter delivery book", the entry in that book must be signed in acknowledgement of receipt, after which the remittance should then be recorded in the register. Remittances that are hand delivered are paid in directly at the cashier's office and not through registry. A receipt is completed and given to the person who has paid the money in.

Q4.1.1. All postal matters collected from the post office must be carried in a sealed bag and, wherever possible, arrangements should be made to ensure that the bag is sealed by a post office official.

J.5.2. Warrant vouchers, cheques, bank drafts, money orders, postal orders, transfer orders or other items of payment, excluding stamps and bank notes, received by an official on behalf of Government, whether made payable to him/her in his official capacity or not, must immediately on receipt thereof be rendered not negotiable, by means of a crossing consisting of the words "not negotiable" across the face of the item. This instruction does not apply to the case of warrant vouchers specially drawn for encashment.

Q4.1.7. All monies or other transferable items received through the post must be handed over immediately to the officials responsible for accounting, and an acknowledgement should be made thereof in the relevant column of the remittance register.

2.2 Filing of post

2.2.1 Application of date stamp and reference number

2.2.1.1 The date stamp is only applied to the first page of a letter. No annexure and/or cheques are stamped.

2.2.1.2 The date stamp must be applied to an open space on the letter. If the front of the letter has insufficient space, the date stamp should be applied to the back. Under no circumstances must any written or printed part of the letter be stamped as it could make important information illegible.

2.2.1.3 Cheques are stamped on the back.

2.2.1.4 Opened mail should immediately be divided into three groups, namely those on which the office reference number already appears those that do not need any reference number, and those to which a reference number must be allocated.

2.2.1.5 The following items receive no file numbers:

- a) Cheques
- b) Cash
- c) Annexures
- d) Remittances
- e) Photographs, etc.

2.2.1.6 When a file number is allocated to a particular letter, it is written in the top right hand corner, and the letter is given to the officials responsible for drawing or locating the files.

2.2.1.7 Letters to which reference numbers must be allocated are dealt with as follows:

- a) The Chief Registry Official reads the letters thoroughly and carefully to determine precisely what it deals with. Under no circumstances should the subject be determined simply from the letter heading. The latter can be misleading;

- b) Only the filing system should be used to determine file number;
- c) To determine the correct number, the list of main series should first be consulted and the most suitable series selected. Then the different sub-series should be consulted to eventually identify the correct file reference; and
- d) If there is still disagreement over the correct reference the Records Manager should be consulted.

2.2.1.8 If more than one subject is dealt with in a letter, the references should be identified for each subject and copies of the letter should be made for the relevant files.

2.2.1.9 Officials working with correspondence must also ensure that the correspondence they deal with is placed on the correct files. In cases where there is disagreement about the allocation of a file number, or the correctness of a given number, it should immediately be discussed with the Chief Registry Official.

2.2.1.10 Reference numbers i.r.o. personal papers of staff are allocated as follows:
 On receipt of documentation relating to personnel issues the correspondence is sent to HRM for processing. No reference numbers are allocated if none appears on the document as the HRM sections works on a persal system which make it easy for them to retrieve the reference number. If documents are returned to registry without a reference number, the registry staff consults the list that was retrieved from the persal system and applies the number on the said documents before filing it.

2.2.2 Correct and neat filing

2.2.2.1 Once the reference number has been allocated to the incoming post, the relevant files are determined and drawn according to the procedures explained hereunder. The papers in question are filed on the split pin in the files and the control sheets completed. Under no circumstances may loose papers be circulated either in the file cover or pinned to the front of the file, since correspondence can be damaged and soiled in this way.

2.2.2.2 Documents which must be sent back to a person, e.g. certification, wills, copies of deeds, etc., should be placed in an envelope and pinned to the right hand side (flyleaf) of the file cover.

2.2.2.3 If a file on which correspondence must be sent out is not available within a reasonable time, or after the first search for it, the documents should be taken to the relevant department or section. If the matter cannot be dealt with without the file, the registry officials must search for it. If the file cannot be found after repeated attempts, a duplicate file is opened by the Chief Registry Official and enters in pencil in the Register of Opened files. Meanwhile, attempts must still be made to trace the original file and when found, the contents of the temporary file must be amalgamated to it.

2.2.2.4 The following papers are always sent through for attention without files:
Financial statements, Leave forms, S & T claims, log sheets, accident claims, etc.
Note: These documents are taken to the relevant officials who signs receipt thereof, it is only classified once the document is returned to the registry for filing, but the official replying to the correspondence contacts registry for the reference number before sending out the letter.

2.2.2.5 Care must be taken to ensure that papers are neatly filed on the split pin. Attention must be paid to the correspondence which should be kept neat and tidy in the file covers. No papers should protrude from the files.

2.2.3 Application of a policy of document economy

2.2.3.1 Both the Records manager and the Chief Registry Official must ensure that the following policy of document economy is carried out:

- a) Copies of reminders are not placed on files. Only the particular letter to which the reminder applies is endorsed;
- b) Excessive cross filing and duplication of papers on files must be regularly guarded against. Where duplicates of an item are made for any reason, those unused should be filed separately in a folder and not on the file;

- c) Where identical letters are directed to more than one address, only one copy needs to be placed on the file, together with a list of the other addresses to which similar letters are sent; and
- d) Where a duplicate is made for the purpose of acknowledgment of receipt, the returned copy with the acknowledgement must be placed in the same file as the previous copy.

2.2.4 Numbering of items in files

2.2.4.1 Each individual item placed on a file is numbered consecutively. The items are seen as an entity and received only one number. Since the pages of any one item are not separately numbered, it is not necessary for the item's pages to be placed in the file in reverse order.

2.2.5 System of completing correspondence on files

2.2.5.1 It must be regularly ensured that all incoming post and instructions on the files receive attention. It is the duty of the registry officials to ensure that an instruction the file or pend is noted on every item before the particular file is replaced. If this is not given, the file must be returned.

2.2.5.2 In order to make these controls as effective as possible, the following procedures are followed:

- a) Control sheets are pasted in the front of every file cover;
- b) The registry personnel place the documents received on the file, allocate the following item number on the file and enter the number in the first column of the control sheet;
- c) The date on which the items is placed on the file is written in the second column;
- d) The item is then marked out to the official who will receive it;
- e) If the item refers to previous correspondence, the relevant item number of that correspondence is place in the fourth column;

- f) If the correspondence clerk makes a submission to his seniors, it is written on a new page with the following item number, and the details are written on the control sheet;
- g) When the original document is dealt with, in whatever manner, it is marked "file" with the correspondence clerk's signature, and date in the relevant column of the control sheet;
- h) Submissions which must circulate to various officials should be marked with only the following person's rank, or initials, in the third column. Officials who have already consulted the file should delete their initials, or rank, in order to avoid confusion; and
- i) If the incoming correspondence resulted in a letter being dispatched, the copy for filing must be marked "file", the outgoing copy must be signed, and instructions should be given to Registry in the relevant column of the control sheet, whether the letter should be pended or filed.

2.3 Circulation of and search for files

2.3.1 Once incoming mail is placed on the files, they are placed in a pigeon hole rack. From here they are taken by the messengers and circulated amongst the various officials and sections.

2.3.2 Only in exceptional cases should the registry personnel be given the instruction to search for a file urgently.

2.3.3 Once the registry personnel have drawn and dealt with the files in registry, as mentioned, a search list is compiled. On this list, file numbers of all unfiled papers are given in numerical order. This procedure simplifies and speeds up the search for files.

2.3.4 The offices are then systematically searched by the registry clerks, the correspondence is placed on the files and the control sheets are completed. The officials dealing with correspondence should be informed of the new correspondence being added to the file. If the official is not in his/her office at the

time, the file on which the new correspondence has been filed is simply replaced in his/her "in" tray.

2.3.5 Files should not be removed from an official's table without this knowledge. If he/she is absent, a note should be left stating where the file has been taken.

2.4 Outgoing mail

2.4.1 Instructions contained in the Postal guide and Financial Manual

2.4.1.1 Registry officials follow the instructions included in the Postal Guide and the Financial Manual regarding matters like postal money, weight, measurements, packaging, registered post, etc. which are dealt with thoroughly in the Postal Guide.

2.4.2 Rules for dispatch

2.4.2.1 All files that contain post for dispatch must be placed in his/her tray for "post files" by the correspondence official. From here it is taken by the messenger during his round and brought directly to the sorting table at registry, where it is sorted and checked by the Chief Registry Clerk.

2.4.2.2 Officials must ensure that all post to be dispatched, reaches the registry before 10:00 am and 13:00pm. Post received after the given times will only be dispatched the next time that mail is posted. In cases where post must be dispatched urgently, but is not ready at the correct time, the Chief Registry official should be informed. Such post will be received and dispatched later.

2.4.2.3 Letters and papers for dispatch should neither be placed on top of files, nor attached in front with a paperclip, but should be folded neatly in the file cover. This prevents outgoing papers from being soiled, detached from the relevant file or torn while being handled.

2.4.2.4 The dispatch of all post occurs from the dispatch table in the registry and is carried out the Registry officials responsible for that function. Files with post for dispatched are placed in sorting by the messengers so that it is within reach of the dispatch clerk. The officials of the Department of Transport, Roads and Public Works does not leave documents in files but are sent through separately where it is easy to sort

and place in the tray for outgoing mail. Since the Department of Transport, Roads and Public Works has a section which deals with case files, these files are kept in the office where the administrative clerk appointed in that specific section deals with the filing thus sending documents to registry for posting without placing on files.

- 2.4.2.5 After the dispatch clerk has tamped the post, he/she checks whether all stamps and signatures have been added, as well as whether all annexure mentioned have been enclosed.
- 2.4.2.6 The date of dispatch should not be typed on the letters, as the correspondence may not be posted on the same day, and alternations will then have to be made. The date of dispatch is stamped in the registry.
- 2.4.2.7 Post ready for placing in envelopes is placed on the dispatch table while files are given directly to the registry officials where they are then filed by the registry official. Each registry official is responsible for filing according to the filing plan e.g. support functions allocated to one specific registry official, etc.
- 2.4.2.8 Post should be placed for dispatch in four separate groups, viz.:
- a) Ordinary post which is sent by the post office;
 - b) Registered post;
 - c) Certified post; and
 - d) Ordinary post which reaches its destination by means of messenger deliveries.
- 2.4.2.9 To prevent the wasting of envelopes, post intended for dispatch to the same department/Institution should be placed in separate trays. In this way several items can be placed together in one envelope.
- 2.4.2.10 Correspondence clerks who send letters through for dispatch should note that, to save time with the writing of addresses on envelopes, window envelopes (for letters to the public) and address stamps (for organizations and offices with whom they correspond frequently) should be used.

2.4.3 Special dispatches

- 2.4.3.1 The regulations contained in the Postal Guide should be adhered to strictly when dealing with all of the under mentioned dispatches. Furthermore, the following apply.
- 2.4.3.2 Only important documents and correspondence which, for specific reasons, the addressee must sign should be sent by registered post.
- 2.4.3.3 The official who sends registered post should:
- a) Ensure that special registered post envelopes are used and properly sealed;
 - b) Stick a registry label in the top left corner of the addressed face
 - c) Compile a list of the registered post in duplicate on form Z209. The book should accompany the post to the post office where the original list is removed and proof of receipt applied to the duplicate copy. The South African Postal Offices issues (our office does not make use of the Z209, reasons being that a list of registered letters is issued by the South African Post Office which is completed and sent with the registered post. The copy is returned to the office and kept in a register for enquiry purposes and filed in a ring binder.
- 2.4.3.4 All registered post should be handed over at the post office counter and must not be placed in the mailbag.
- 2.4.3.5 Labels for registered post are locked in a safe place in registry and are only issued by the South African Post Office.
- 2.4.3.6 Post of value also requiring the addressee's signature of receipt, but are not so important that the post office has to give a receipt, are sent by certified post.
- 2.4.3.7 The certified post label is glued in the left upper corner of the address face of the envelope, while the duplicate is glued to the file copy of the letter. Certified post is place in the mailbag with ordinary post and not recorded in a register.
- 2.4.3.8 Only in very urgent cases is a letter sent by express of preferential post. Such letters are handed in at the counter of the post office and must not be placed in the

mailbag. This post must be addressed to a street address and not to post box or private bag (A waybill is completed which accompanies the letters to the post office. The client copy is returned to the office and filed safely. All post (subject headings) is listed in a register for easy enquiry purposes).

2.4.3.9 Telegrams are only sent in very urgent cases. The length of a telegram must be kept to the absolute minimum and sent to a telegraphic address. No register is kept for telegrams dispatched.

2.4.3.10 The registry official responsible for dispatching makes up the parcels and the registry personnel attach only the necessary stamps, postage stamps, etc. Ordinary parcels are placed together with the other post in the mailbag. For certified parcels, the same procedure applies as with certified letters (see paragraph 2.4.3.6. to 2.4.3.7), while insured parcels are handed in at the post office counter. The delivery receipt received for such a parcel is glued on the file copy of the covering letter. This controls the dispatch of the parcel.

2.4.4 Handling of secret/confidential postal articles

2.4.4.1 Registry personnel do not deal with secret/confidential papers in their normal day to day work. If a registry official should be given the task, all the precautions contained in the "Guide for Security Measures for the Protection of State Secrets" (EM 9/12) should be followed and the Head of the office should issue the instruction personally, and in writing.

2.4.4.2 Secret files are protected and managed by the Records manager / Security Manager and the registry carries not responsibility for them.

2.4.5 Pending of papers

2.4.5.1 It is not the function of the registry staff to decide for how long a document should be pending; they only carry out an instruction.

2.4.5.2 The dispatch of reminder i.r.o. pending items is the responsibility of the relevant correspondence official.

2.4.5.3 The registry, as well as every correspondence official, keeps a diary according to which the pending of papers is managed. When a correspondence official wishes to pend an item, he/she carries out the following procedure:

- a) Record the file and item number in the relevant date column of his/her diary;
- b) Complete the control sheet in the relevant file in the prescribed manner;
- c) Ensure that the pending inscription in his/her diary is cancelled if an answer arrives before the due date thus, preventing unnecessary request for a file; and
- d) Request registry to send the relevant file to him/her on the pended day if it has not reached him/her by the due date.

2.4.5.4 Similarly the registry personnel must recorded under the appropriate dates in their dairies which files have been pended for that day. These details are obtained by checking the control sheets of all files before they are replaced. Files pended for a particular day are drawn each day by the registry officials at 8:00 am. The messengers must ensure that the files are taken from registry to reach the correspondence clerks before 8:30am.

2.4.6 Filing of papers and replacing of files

2.4.6.1 Before a file is replaced, the registry personnel must first ensure that:

- a) All correspondence has received attention and that the instructions to file or pend have been complied with. This is ascertained from the control sheet in every file;
- b) The cover of the file is neat and intact, that the correspondence is place neatly on the file, and the split pin is in one piece. All of these shortcomings must be corrected before a file is replaced;
- c) Pins and paper-clips are removed, as they can rust and thus damage the document; and
- d) A file is not thicker than 3cm. When a file reaches this thickness, it should be closed and the next volume opened. (See paragraph 2.6).

2.4.6.2 Filing of incoming post as well as file copies of outgoing letters must be attended to in registry, but correspondence clerks must file their submissions themselves.

- 2.4.6.3 When all papers intended for filing have been placed on the split pin, care must be taken to ensure that the paper washer is placed on top. The washer protects the correspondence and holds it firmly in place.
- 2.4.6.4 Documents must be filed in chronological order with the most recent date on top. An annexure or enclosure always forms part of the document with which it was received, regardless of the date thereon. The date of receipt, and not the date of dispatch, is used to file incoming papers. (See also paragraph 2.2.4.1).
- 2.4.6.5 Bulky documents, such as reports and minutes, are not filed with other documents, but are placed in annexure file covers. An indication of this must be given on the corresponding file. The annexure cover must supply the file reference number as well as the words "Annexure Cover". No correspondence may be placed on this file.
- 2.4.6.6 The files of the filing system are placed on the shelves numerically, according to the sequence of the Master copy. Personal files with reference to staff are filed numerically according to their personal numbers (SP, ST, and SV). Loose case files are filed numerically as well.

2.5 Movement of files

- 2.5.1 The movement of files within registry is not controlled. Officials who need files from registry make use of the file control card and these are signed off by the official. This control card is placed in the suspension file as evidence and then the control card is replaced in the file when the officials return the file and the registry clerk signs receipt thereof. Officials must use the following procedure:
- a) No files may be personally removed from or replaced on the shelves. Files are requested and returned at the counter in the registry and are supplied, and replaced again only by the registry personnel;
 - b) Files should be requested by providing the file number only, and not the description of the subject content or the file description;
 - c) Files should not be held up unnecessarily in office, but should be returned to the registry as soon as possible. In cases where the matter cannot be attended to immediately, the file should be sent back to the registry until it is needed again; and

d) Files may not be removed from the building or sent through to Sections or the Archives without the consent of the Records Manager/ Chief Registry official.

2.5.2 Only the movement of files leaving the building or being sent through to other Departments/Provinces is monitored. For this purpose, a card with the following information is placed in the usual space of the file:

- a) File number
- b) Where sent to
- c) Date of dispatch

Files returned from other sections must immediately be brought to the attention of the registry personnel so that the card may be removed. Specially prepared cards are available in registry for this purpose.

2.6 Closure and termination of files and records other than correspondence files

2.6.1 Closure at 3cm thickness

2.6.1.1 Files should not be allowed to become more than 3cm thick.

2.6.1.2 When a volume is closed, the date of the most recent correspondence is noted on the file cover. The date when the correspondence began is noted on the cover once the first item is placed on the file after opening. A sheet of paper is placed as the last item in the closed volume containing the words "Closed, see vol. 2", which is also written on the file cover. The volumes are numbered consecutively. Letters and roman numerals must not be used.

2.6.1.3 The closing procedure is as follows:

- a) A strip of cardboard, wide enough to fit in the file and long enough to wrap around pot sides, is cut from file covers and placed beneath the correspondence in the file;
- b) The correspondence is then meticulously checked to ensure that each item is in place, and that no misplaced items appear on the file. The items in the file are then replaced on top of the cardboard strip. A clean sheet of paper is placed on the file with the words "Closed, see vol. 2" thereon;

- c) The cardboard strip is closed from the top and bottom covering the correspondence while the file is closed in the usual manner; and
- d) If the file cover is damaged or not presentable, it must be replaced with a new one.

2.6.2 Termination during transfer from one office to another

2.6.2.1 Policy and subject files received from another institution when functions are transferred should be terminated immediately. No further correspondence may be added to these transferred files. These files may not be incorporated into the filing system and must be preserved as a separate entity.

2.6.2.2 However, when case files are received during the transfer of functions, they may with written consent of the National Archives be incorporated in the filing system. They may be renumbered and further correspondence filed on them, provided that the file is still required for existing correspondence. In cases where the file is no longer needed, it should be terminated and preserved as a separate entity.

2.6.2.3 Minute books that are received should be terminated and new ones opened. Financial books may be used until the end of the financial year in which they were received, and must then be terminated. Only financial books which are used for recording long term transactions such as the payment of loans, appropriation of capital funds and the financial statements, are exempted from this condition.

2.6.2.4 All other items of records other than correspondence files, except map collections and cartographic materials which, by their nature, cannot be terminated, must be terminated as soon as possible after receipt and preserved as a separate entity. (See also paragraph 4.3 to 4.3.2.).

2.6.3 Filing of closed and terminated records

2.6.3.1 All closed volumes or items from the approved filing system or Records Control Schedule are preserved in the Registry Archives / Storeroom on appropriate steel storage. Under no circumstances should closed volumes of correspondence files be filed with current files on the shelves. This can lead to correspondence being accidentally placed on a closed volume, and not being finalized.

2.6.3.2 Closed and terminated correspondence files can be filed in corrugated cardboard boxes for protection against light, dust and disintegration. Containers of corrugated cardboard are used for the filing of files. Single walled simplex cardboard with B-corrugated construction used for this purpose should have the following measurements:

368mm x 267mm x 95mm (outside measurement) with a 152mm lid.

The containers can be ordered from specialized archival vendors

2.7 Keeping of essential registers/schedules

2.7.1 Records manager

2.7.1.1 The following essential registers/schedules are kept by the Records Manager:

- a) The master copy of the filing system – this is the copy of the system in which all approved subjects are correctly and neatly reflected; and
- b) The records control schedule – on which every type of item, which is not part of the filing system, is indicated.

2.7.2 Chief Registry Official

2.7.2.1 The following essential registers are kept by the Chief Registry Official:

- a) Remittances received by post. This register must record all remittances received by post – whether by ordinary, registered or certified post;
- b) Documents and correspondence received by registered and certified post. In this register, the number of the registered and/or certified items as well as the place of origin is noted. After receipt of the items, they are opened and details of the contents are entered into the register opposite the relevant slip number. If remittances are received, the details of the reference are entered into the remittance register;
- c) Items sent by registered post;
- d) Register of files opened – this register which accurately reflects what files are already opened according to the Master copy of the filing system;
- e) Destruction register – this is a register which records details of all records which must be destroyed;

- f) Disposal authorities register – this is a register in which details of all disposal authorities received from the National Archivist, are recorded; and
- g) A stamp register

2.8 Preparation and opening of file covers

2.8.1 File descriptions and numbers on files must be printed neatly and legibly with the aid of black ball point/black fine liner and black Indian ink.

2.8.2 The description of the subject on the files must agree with the description of the subject in the filing system. Paragraph 12 of the General instructions to the filing system must be strictly adhered to. The main series description must in all cases be indicated on the cover. Where there is uncertainty as to the correct description, or where registry officials are unsure which components can be omitted, the final decision must be made by the Records Manager. At all times, correct spelling must be emphasized.

2.8.3 It should be indicated on the cover which volume of the file it is and, as soon as the first volume is opened, it should be marked vol. 1. Only Arabic numbers may be used for this purpose.

2.8.4 The disposal instruction with respect of each file must be recorded as soon as the file is opened. Disposal instructions that have not yet been approved by the National Archivist should not be recorded on these files.

2.8.5 Every file cover used must be provided with a cardboard file backing, a self-piercing type of paper fastener, 5cm long, and a cardboard washer. Control sheets are available in registry and should also be attached inside the front of each cover.

2.9 Use of daily files

2.9.1 Only copies of important letters, excluding confidential letters, are placed on the daily file. Copies of a) cheques, b) remittance, c) policies, d) disciplinary hearings, e) secret documents/ papers do not appear on the daily files. The Records Manager in all cases has the final decision on what appears on the daily file.

2.9.2 Once the daily file has been prepared for circulation, it is immediately sent to the Records Manager, who then checks that the correct file numbers have been allocated in all cases. Thereafter, it is circulated to all interested staff as follows:

Records Manager – Directors – Head of Department – Head of Ministry

2.9.3 Officials must note that they may only keep daily files for a period of 24 hours. If they have not finished studying it by then, it must be sent to the next official, and be requested for further study later.

2.9.4 As soon as the daily file has been circulated amongst all interested personnel, and returned again to the registry, it is filed for six months and then disposed of under Authority AT 2.

3. MAINTENANCE OF THE FILING SYSTEM AND RECORDS CONTROL

SCHEDULE

3.1 Filing system

3.1.1 The Records Manager is responsible for the maintenance of the filing system. This includes:

- a) Careful control over amendments and additions to the system to prevent its degeneration. The Provincial Archivist should approve all such amendments and additions himself and should add them personally to the master copy of the system; and
- b) Ensuring that correspondence is placed correctly prevents the subsequent deterioration of the system. The official exercises this control by means of the daily file, regular inspections and spot checks on the files in registry.

3.1.2 With regard to amendments and additions, special attention must be paid to the following:

- a) Documents originating from new activities must not be forced into inappropriate files in the existing system. In such cases, new files, subjects or even main series must be created;

- b) Faulty additions through which existing files are duplicated, or which overlap with existing subjects, or additions at incorrect places; and
- c) The assurance that new descriptions satisfy the set requirements.

3.1.3 As soon as the Provincial Archivist has approved an additions or amendments, it must be immediately inserted in the Master copy and thereafter, reported to the National Archivist. This reporting occurs, in the case of minor amendments and additions, when required by means of amendment slips. These are numbered consecutively for every year (e.g. 3/1999, 4/1999) and are in stock in the registry. As soon as an amendments or additions is approved by the Provincial Archivist, the Records Manager completes official amendments slips for each official who deals with correspondence and has a copy of the system at his/her disposal. The slips are then supplied to these officials to make the amendments to their systems. A copy of each slip is kept together and sent collectively to the National Archivist.

3.1.4 In the event of wide-reaching amendments, the Records manager may approve the amendment in principle and add the details in pencil to the Master copy. Actual file covers can be opened in pencil and the task continued. In the meantime, the amendment is reported to the National Archivist and as soon as approval is received, the provisions in the Master copy and on the file covers may be finalized.

3.1.5 With regard to the correct placing of correspondence, special attention must be paid to the following:

- a) Over loading of files not sufficiently subdivided, a too fine subdivision of files which could be combined, or a need for the redivision of files, should be brought to the attention of the Records Manger in good time, and be corrected;
- b) The tendency to add correspondence, in circumstances where a subject began on a specific file long after the nature of the correspondence justifies the continuation of the subject on another file;
- c) The correct use of policy files to prevent non-policy items from accumulating on them, yet simultaneously ensuring that copies of items containing policy

decisions are actually placed on the relevant policy file (see also paragraph 5 of the General Instructions to the Filing system about the use of policy files); and

- d) The placing of ephemeral items on A20 files and valuable items on D files after disposal authority has been issued to the system.

3.1.6 For the time being, all correspondence concerning the filing system must be directed to the Regional Office of the national Archives at the following addresses:

- a) The Provincial Archivist
Northern Cape Provincial Archives and Records Services
Private Bag X5004,
Kimberley
8300

3.2 Records control schedule

3.2.1 The Records Manager is responsible for ensuring that all new types of records other than correspondence files used in the office are immediately added to the list and reported to the Provincial Archivist along with the proposed disposal instruction (see paragraph 3.1.6 for address).

3.3 Separate case files

3.3.1 The following series of separate case files are kept in numerical order according to their own numbering procedures as mentioned in paragraph 8 and 20 of the general instructions given in brackets and are filed e.g. H8.1.1.2.1; H8.1.1.2.2, etc.

3.3.2 The registry personnel are responsible for full control and care of these files. Their existence is reflected in the register of files opened and the Chief Registry official is responsible for ensuring that the register is updated. All procedures and instructions which apply to the files in the filing system, also apply to all case files.

4. DISPOSAL OF RECORDS

4.1. Disposal programme and destruction

4.1.1 Standing disposal authority (to be filled in once a written disposal authority has been issued by the Provincial Archivist) applies to the current filing system and standing disposal authority (to be filled in once a written disposal authority has been issued by the Provincial Archivist) applies to the Records control schedule. The following symbols are used in these authorities to show the disposal for files and records other than correspondence files:

- a) A20 – for valuable records which must be transferred to the Northern Cape Archives Repository for preservation if a period of 20 years has lapsed since the end of the year in which the record came in existence;
- b) D? – For records of an ephemeral nature which can be destroyed after the number of years indicated alongside the “D”. This date is calculated from the date of the youngest item on the file.

4.1.2 In order to facilitate the destruction of files, the Chief Registry official keeps a destruction register. As soon as a file or part of a file is closed, the number of the file is written under the year in which it must be destroyed. At the end of March of every year, by consulting the destruction register, the Registry head must remove all the files which can be destroyed, and make arrangements for their destruction/removal. Files for destruction are removed by:
Registry Official/s

All instructions and procedures concerning the removal of waste paper must be complied with carefully.

4.1.3 The registry personnel must also ensure that destructible records other than correspondence files are disposed of when instructed by the Records manager. Nevertheless, it is the responsibility of the Records Manager to ensure that records other than correspondence files which can be destroyed are brought to the attention of the registry personnel regularly.

4.1.4 No files, or records other than correspondence files of any sort, may be destroyed without the written authority of the National Archivist. All authorities received from the National Archivist, and details of the records to which they refer, must be recorded in the Disposal Authority register by the Chief Registry Official.

4.1.5 When any records are destroyed, a destruction certificate, in the form specified hereunder, must be submitted to the National Archives:

I certify herewith that the records appearing on the following list, which consisted of _____ lin. meters shelf space, were destroyed today.

Signature: _____

Capacity: _____

Date: _____

<i>No.</i>	<i>Description</i>	<i>Authority</i>

4.1.6 Records must be given in alphabetical or numeral order on the above-mentioned list. With regard to case files, it is sufficient to mention the subject under which the files are opened, e.g. 1/1 – 1/2000 cases: Application for permits.

4.1.7 The certificate must be signed by the Records Manager after he has ascertained that the records in question have actually been destroyed.

4.1.8 The copies of these lists of destroyed records are preserved by filing in H2.8.1.4 (destruction of records) and should serve as proof in connection with any enquiries concerning the records at all times.

4.1.9 In order to keep the standing disposal authorities mentioned in paragraph 4.1.1 up to date, the Records Manager should approach the National / Provincial Archivist regularly to approve disposal instructions regarding the new additions to the filing system and Records control schedule. All authorities that have been issued must

be examined periodically by the Records Manager and must be revised as requirements and circumstances change. Proposals for amendment of instructions must be reported to the National / Provincial Archivist.

4.1.10 All correspondence concerning the disposal and destruction of records must be directed to the National Archivist (see paragraph 3.1.6 for address).

4.2 Transfer to archives repository/records centre

4.2.1 Records which are marked for permanent preservation (A20 archives) must in accordance with the National Archives of South Africa Act no. 43 of 1996 be transferred to the Provincial Archives Repository if a period of 20 years has elapsed since the year in which the record came into existence.

The records manager must personally liaise with the Head of the repository/records centre to make transfer arrangements.

<u>Postal</u>	<u>Street</u>	<u>Tel. No</u>
Private Bag X5004	22 Abattoir Road	(053) 8074700
Kimberley	Ashburnham	(053) 8074600 (f)
8300	Kimberley	
	8300	

4.2.2 On the other hand A20 records which have not yet reached the age of 20 years may be transferred to the Provincial Records centre. In this case the Records manager must personally liaise with the Head of the records centre to make transfer arrangements.

4.2.3 Archives transferred to the records centre, can be returned on loan. The procedure to follow is given below:

a) Only the Records Manager is authorized to request files on load and only his/her signature will be accepted for loan requests;

b) Correspondence officials who request files on loan must hand their written request to registry every day before ___12___ and ___15___. The requests must be filled in on the request forms of the records centre, which are available in

registry. However, the form must not be signed. That will be done by the Records Manager;

- c) When requesting a load, the transfer list must be referred to and the particulars of the item on the list must be clearly indicated, e.g. volume number etc.;
- d) The messenger then takes the request to the records centre, from where the files can be collected at _____ Reading room. Files requested will be available in registry in 24-48 Hours at the Service Desk.
- e) Correspondence official should note that files must be returned to the records centre within 60 days. Files earmarked for return to the records centre must be left in registry on a tray for such purpose. The messenger returns these files to the records centre when he makes requests. The files which are returned are accompanied by a B.17 book in which they are entered. The person who receives them at the records centre must sign a receipt for every file in the book. This serves as the bodies/office's proof that the loaned files were actually returned and receipt acknowledged by the records centre; and
- f) In emergencies files can be requested telephonically from the records centre, but the request must then be confirmed in writing when the files are collected. Such requests, however must be kept to the minimum should only be made in really urgent cases.

4.2.4 Records used regularly for administrative research and reference purposes should not be transferred to the records centre, as it can result in delays with consultation.

4.2.5 When transferring to the archives repository/records centre the following procedure is followed:

- a) The records manager informs the head of the repository/records centre beforehand of the nature of the records he/she intends to transfer by submitting a list of the records as shown in 4.2.5.3 below. The linear meters shelf space of the records must be provided;

- b) The head of the relevant depot will then indicate if he/she can receive them;
- c) The records to be transferred are accompanied by a list in duplicate which contains the following information:

I certify herewith that the under mentioned records were transferred today to the archives repository/records centre in _____.

Name of body/office: _____

Street Address: _____

Extent of linear meters: _____

Name of office transferring the records: _____

Capacity: _____

Telephone number: _____

Date: _____

Item, box or parcel number	File, register or form number	Description	Period covered

- d) Records are listed alphabetically or numerically;
- e) Unbound records are either packed neatly and securely with the parcels clearly marked in order, or boxed (see paragraph 2.6.4.2) and numbered;
- f) Except where the National Archivist instructs otherwise, registers and indexes relating to the records are transferred too;
- g) Registers are stamped on the inside of the flyleaf with the office stamp, while the nature of the register is indicated on the cover; and
- h) The head of the relevant repository/records centre will compare the records with the list and acknowledge receipt on the duplicate copy which will be returned to the body/office.

4.2.6 Transfer occurs by transport/ messenger services/mail and the following procedures must be followed with regards to transport arrangements.

4.3 Transfer from one office to another

4.3.1 If records are transferred permanently to another body/office the Records Manager must inform the Provincial Archivist thereof, and a complete alphabetical or numerical list of the relevant records must be submitted. (See also paragraph 2.6.3.1 – 2.6.3.3)

4.3.2 No records may be given, donated or transferred to a person, library, museum or any institution other than a governmental body without the approval of the National Archivist.

5. CONTROL AND PROTECTION OF RECORDS

5.1. Access

5.1.1. Access to records in the office not normally open to members of the public is controlled by the Records Manager in agreement with section 12 of the National Archives Act of South Africa no. 42 of 1996 and the National Archivist's Circular 1 Of 1969.

5.1.1 All requests from researchers and persons, who wish to consult records, must be submitted in writing. The Records Manger must personally make a thorough investigation as to the bona fides of the applicant to ensure that his/her perusal will not be detrimental to the office.

5.1.2 Persons consulting records must do it in boardroom/ Record manager's office under the supervision of the Records Manager / Security Manager in this manner the supervisor must note that:

- a) The greatest care must be exercised in the handling of the records, especially when turning pages;
- b) Pages are not folded;

- c) The researcher must place a piece of paper under his/her hand if he/she wishes to follow the section h/she is copying with his/her finger, so that this/her bare hand does not rest on the page;
- d) The researcher must only use a pencil or ball point pen to copy items;
- e) The researcher must not disturb the order of the papers;
- f) The researcher must not make any mark on the item or remove it;
- g) If the researcher wishes to copy, photograph or microfilm the items, he/she must first obtain the permission of the Records Manager, who must also ensure that the relevant items are not damaged in the process; and
- h) No researcher may remove items from the office for any reason at all.

5.1.3 The Records Manager / Security Manager must first inspect and approve any researcher's research work before it is published or in any way duplicated. Such a researcher must also be requested beforehand to donate two annotated copies to the head of the office. One copy of this must sent to the Provincial Archivist.

5.1.4 Officials must note that they have access to the records of the office only as far as is necessary for the carrying out of their duties.

5.1.5 The Records Manager must ensure that no unauthorized person may, or is able to gain access to the registry, or records store rooms during or after office hours. The following rules apply:

- a) The registry and store rooms are closed during lunch and after office hours;
- b) During office hours the registry should not be left unattended. If this does happen, the door must be locked;
- c) The Chief Registry clerk exercises control over all the keys; and
- d) The registry and store rooms may only be cleaned by cleaners while one or more registry personnel are present.

5.2 Fire and fire prevention measures

5.2.1 The lighting of matches, smoking and the storage of inflammable material or cleaning solutions in Registry / storage areas is strictly forbidden. Under no circumstances is smoking allowed. No burning cigarettes may be left on a desk while the official works elsewhere.

5.2.2 Only CO2 fire extinguishers may be used to extinguish a fire in a location where records are store. Water in all instances, must only is considered as a last resort.

5.2.3 Fire extinguishers must be inspected every 12 months and the Records Manager must ensure that it is actually done. On their appointment, all registry personnel must learn to handle fire extinguishers.

5.3 Water

5.3.1 Registry and other areas where records are held must be regularly inspected by the Chief Registry official and the Records Manager to ensure that roof leaks, leaks in water pipes, etc. are traced in time and repaired before damage can be done to the records.

5.3.2 When records become wet for whatever reason, efforts to dry them must be made as soon as possible. The documents should be separated carefully and dried between sheets of blotting paper. Warm air is then blown over them with a fan or hairdryer. Under no circumstances should wet documents be spread out to dry in direct sunlight.

5.4 Pests and plaques

5.4.1 The registry and other areas where records are stored must be regularly examined by the Chief Registry office and the Records Manager to avoid the occurrence of pests and plaques. Officials who notice fishmoths, cockroaches, etc, in any of these areas must immediately report it to the Chief Registry office.

5.4.2 Areas where records are stored must be fumigated every 6 months against fish moths and other pests. It must be noted at all times that no lethal sprays may be used which could have damaging consequences for the records. Sprays with a high acid content or which release acid, should be avoided. The safety of personnel must also be taken into account.

5.5 Light

- 5.5.1 The lights in storage areas must be switched off whenever nobody is present in the area. The lights between the shelves in registry must similarly be switched off whenever files are not being filed or drawn.
- 5.5.2 No direct sunlight must be allowed to shine on any recorded and for this reason the blinds in registry and storage areas must be kept rolled down when the sun shines in.
- 5.6 Damage through handling
- 5.6.1 All officials must be aware that it is considered to be a violation of the National Archives of South Africa Act no 43 of 1996 to deliberately damage records. This included deliberate damage by careless and indifferent handling. Records must therefore be handled as carefully as possible. Careful handling also saves time and money because damaged file cover do not have to be changed as regularly.
- 5.6.2 No food or drink may be consumed at a table or desk where records are placed. Glasses of water, bottles of cool drink, etc. must not be place on cabinets or on shelves where files and records are stored.

6. TRAINING OF REGISTRY PERSONNEL

- 6.1 Both the Records Manager and the Chief Registry Official must attend the Records Management course presented by the Provincial Archives to receive the correct training for their various tasks. The other registry personnel should, however, be trained by the Chief Registry Official.
- 6.2 In-service training under the Chief Registry official occurs during the normal execution of duties and is conducted along the lines of the Registry Manual on Registry Procedures at the registry. Officials undergoing training write tests every quarter to test their knowledge.

7. POLICY REVIEW AND AMENDMENT

- 7.1 This policy is effective from date of signature.

- 7.1 The assessment to determine the effectiveness and appropriateness of this policy will be done five (5) years after its effective date. The assessment could be performed earlier than five (5) years to accommodate any substantial structural or other organizational changes at the Department or any change required by law.


- 7.2 The policy shall be reviewed to specifically factor in changes in legal frameworks, organisational development, political and economic trends, as well as the outcomes of monitoring and evaluation processes.

- 15.3 Deviations from this policy must be approved by the HOD.

8. APPROVAL OF THE POLICY AND DATE OF EFFECT

This policy is Approved / ~~Not Approved~~
Comments:

.....
.....
.....
.....



HEAD OF DEPARTMENT

29.04.2021
DATE



the dr&pw

Department:
Roads and Public Works
NORTHERN CAPE PROVINCE
REPUBLIC OF SOUTH AFRICA

INTERNAL MEMO

DATE:	15 APRIL 2021	REF. NO.	
TO:	THE DIRECTOR: STRATEGIC PLANNING MANAGEMENT		
FROM:	THE DEPUTY DIRECTOR: POLICY AND RESEARCH MANAGEMENT SERVICES		
SUBJECT:	SUBMISSION FOR APPROVAL OF REVIEWED DEPARTMENTAL POLICY DOCUMENTS		

Dear Ms. Bekebeke

Please find attached the final drafts of the reviewed departmental policy documents on Security; Contract Management; the Monitoring and Evaluation (M&E) Framework; and the Registry Manual on Procedures at Registry for your perusal and consideration. The above mentioned policy documents have been circulated departmentally for consultation and inputs for review, and it is hereby submitted for approval by the Acting Head of Department (HOD).

Regards,

Mr. T. Ferreira
Manager: Policy and Research Management Services



the dr&pw

Department:
Roads and Public Works
NORTHERN CAPE PROVINCE
REPUBLIC OF SOUTH AFRICA

INTERNAL MEMO

DATE:	15 APRIL 2021	REF. NO.	
TO:	THE HEAD OF DEPARTMENT (HOD)		
FROM:	THE DIRECTOR: STRATEGIC PLANNING MANAGEMENT		
COPY:	THE CHIEF DIRECTOR: CORPORATE AND MANAGEMENT SERVICES		
SUBJECT:	SUBMISSION FOR APPROVAL OF REVIEWED POLICIES		

Purpose

1. The purpose of this submission is to obtain approval from the Acting Head of Department (HOD) for the operationalization within the Department of the following reviewed departmental policy documents:

- Security Policy;
- Contract Management Policy;
- Monitoring and Evaluation Policy Framework; and
- Registry Manual on Procedures at Registry.

Recommendations

1. The above mentioned reviewed policy documents have been circulated departmentally by the Communication and Marketing Unit to consult the staff members in order to provide an opportunity for inputs toward the review of said policy documents.

**SUBMISSION FOR APPROVAL OF REVIEWED DEPARTMENTAL
POLICY DOCUMENTS**

2. It is therefore recommended that the Acting HOD approve these reviewed versions of these policy documents as Departmental policy.

3. Please see e-mails attached of the Evidence of Departmental Consultation.



MS. B. BEKEBEKE
DIRECTOR: STRATEGIC PLANNING MANAGEMENT
Recommended / Not Recommended

21/04/2021
DATE



MS. A. MPOTSANG
CHIEF DIRECTOR: CORPORATE AND MANAGEMENT SERVICES
Recommended / Not Recommended

2021-04-28
DATE



MS. R. GREWAN
ACTING HEAD OF DEPARTMENT
Policies Approved / Policies Not Approved

29.04.2021
DATE



the dr&pw

Department:
Roads and Public Works
NORTHERN CAPE PROVINCE
REPUBLIC OF SOUTH AFRICA

**EVIDENCE OF CONSULTATION WITH
DEPARTMENTAL STAKEHOLDERS**

**REVIEWED DEPARTMENTAL POLICIES
ON:**

- 🚧 SECURITY;**
- 🚧 CONTRACT MANAGEMENT;**
- 🚧 MONITORING AND EVALUATION (M&E)
FRAMEWORK; AND**
- 🚧 REGISTRY MANUAL ON PROCEDURES AT
REGISTRY.**

**SUBMISSION FOR APPROVAL
15 APRIL 2021**

TFerreira - REMINDER: POLICY REVIEW - PART 1: DR&PW Registry Procedures Manual, 2014

From: DRPW-Info

To: A AMokwadi; A Maina; A van Staden; ABrand; ACLouw; AFembers; AKula; ALesotho; ALSishi; AMasisi; AMiller; AMkhize; AMoeti; AMofokeng; AMotlagodisa; Andre Jooste; Andrew Pulen; Anne AMpotsang; APulen; ARudman; ASwanepoel; AvanHeerden; B BDamon; BaatileItumeleng; Babalwa Bekebeke; BBarends; BBobeje; BChotelo; BCloete; B Gaonakala; BKapanda; BMazwi; BMeruti; BMontshiwa; BonoloMakoko; BosmanP; Bradley Slingers; BSedisho; BSemau; BSlingers; BValentine; C CvanRooi; C Robertson; CAbrahams; CAdams; CBailey; CChakela; CDenysschen; CFourie; ChanelFourie; ChantelleCloete; ChristinaF; CKakora; Clive Bailey; CMrwebi; CNdebele; CRabaji; CRobertson; CValentine; D DMokoena; D DMwembo; DBingwa; DBingwane; Denice Bingwane; DGaehete; DKowa; DMAqutyana; DMAqutyana; DMokgathe; DMonyamane; DPhirisi; DRPW-Info; DRPW-Switchboard; DSolo; DTsoai; DvdMerwe; EbenSwartbooi; EBeukes; EBreytenbach; Ed Simon; EduPlëssis; Edward Simon; EJonkers; EKhatwane; ELecwedi; Ella Modise; EMichaels; ENodoba; EPino; EricksenA; ESimon; FDooling; FMogoje; FPetoro; FvanVuuren; GAppels; Garnett Keyser; GCloete; GJacobs; Gladwyn Stuurman; GMoabi; GMolale; GNakana; GPietersen; GPino; GSalimana; GSeftlho; GThupe; GTopkin; Harold Roberts; Henry De Wee; HPuley; HvanderMerwe; I Bulane; IICarolus; IIThopile; I MichaelsI; IFredericks; I Lottering; IMolore; IOliphant; IRammutla; Isaac Prins; J Esterhuyse; J JHanekom; JillianWilliams; JMarx; JMhlongo; JMhlongo; JMolale; JMoncho; JSehume; JSeptember; JSibiya; JSitler; JSpetember; JTawine; June Erasmus; K KMaarman; K KMatonkonyane; K MalgasK; KAaron; KagishoModise; KatzS; KBeuzana; KBopape; KChomi; KDennis; KERicksen; KHenyekane; KKgomo; KKross; KLawrence; KLeboko; KLeserwane; KNdaba; KPike; KPMogorosi; KRifles; KrugerS; KSegwai; L AnthonyL; L Libang; L LleBreton; L LSeobi; L MolemaL; LATwell; LawrenceM; LBuffel(...)

Date: 3/23/2021 10:07 AM

Subject: REMINDER: POLICY REVIEW - PART 1: DR&PW Registry Procedures Manual, 2014

Attachments: PART 1 - DR&PW Registry Procedures Manual, 2014.pdf

Good day Colleagues,

Please find attached **PART 1** of the DR&PW Registry Procedures Manual, 2014, which is under review. The due date for inputs/feedback from staff members is Friday, 26 March 2021 and inputs can be e-mailed to tferreira@ncpg.gov.za

Thank you



DRPW-info@ncpg.gov.za
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Tel: 053 839 2100

Fax: 053 8392290

Trendsetters in infrastructure delivery to change the economic landscape of the province'

T Ferreira - Re: REMINDER: POLICY REVIEW - PART 2: DR&PW Registry Procedures Manual, 2014

From: DRPW-Info

To: A AMokwadi; A Maina; A van Staden; ABrand; ACLouw; AFembers; AKula; ALesotho; ALSishi; AMasisi; AMiller; AMkhize; AMoeti; AMofokeng; AMotlagodisa; Andre Jooste; Andrew Pulen; Anne AMPotsang; APulen; ARudman; ASwanepoel; AvanHeerden; B BDamon; BaatileItumeleng; Babalwa Bekebeke; BBarends; BBobeye; BChatelo; BCloete; BGaonakala; BKapanda; BMazwi; BMeruti; BMontshiwa; BonoloMakoko; BosmanP; Bradley Slingers; BSedisho; BSemau; BSlingers; BValentine; C CvanRooi; C Robertson; CAbrahams; CAdams; CBailey; CChakela; CDenysschen; CFourie; ChanelFourie; ChantelleCloete; ChristinaF; CKakora; Clive Bailey; CMrwebi; CNdebele; CRabaji; CRobertson; CValentine; D DMokoena; D DMwembo; DBingwa; DBingwane; Denice Bingwane; DGaehete; DKowa; DMAqutyana; DMAqutyana; DMokgathe; DMonyamane; DPhirisi; DRPW-Info; DRPW-Switchboard; DSolo; DTsoai; DvdMerwe; EbenSwartbooi; EBeukes; EBreytenbach; Ed Simon; EduPlessis; Edward Simon; EJonkers; EKhatwane; ELecwedi; Ella Modise; EMichaels; ENodoba; EPino; EricksenA; ESimon; FDooling; FMogoje; FPetoro; FvanVuuren; GAppels; Garnett Keyser; GCloete; GJacobs; Gladwyn Stuurman; GMoabi; GMolale; GNakana; GPietersen; GPino; GSalimana; GSeftlho; GThupe; GTopkin; Harold Roberts; Henry De Wee; HPuley; HvanderMerwe; I Bulane; IC Carolus; IIThopile; I MichaelsI; IFredericks; I Lottering; IMolore; IOliphant; IRammutla; Isaac Prins; J Esterhuyse; J JHanekom; JillianWilliams; JMarx; JMhlongo; JMhlongo; JMolale; JMoncho; JSehume; JSeptember; JSibiya; JSitler; JSpetember; JTawine; June Erasmus; K KMaarman; K KMatonkonyane; K Malgask; KAaron; KagishoModise; KatzS; KBeuzana; KBopape; KChomi; KDennis; KERicksen; KHenyekane; KKgomo; KKross; KLawrence; KLeboko; KLeserwane; KNdaba; KPike; KPMogorosi; KRifles; KrugerS; KSegwai; L AnthonyL; L Libang; L LeBreton; L LSeobi; L MolemaL; LATwell; LawrenceM; LBuffel(...)

Date: 3/23/2021 10:09 AM

Subject: Re: REMINDER: POLICY REVIEW - PART 2: DR&PW Registry Procedures Manual, 2014

Attachments: PART 2 - DR&PW Registry Procedures Manual, 2014.pdf

Good day Colleagues,

Please find attached **PART 2** of the DR&PW Registry Procedures Manual, 2014, which is under review. The due date for inputs/feedback from staff members is Friday, 26 March 2021 and inputs can be e-mailed to tferreira@ncpg.gov.za

Thank you



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